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UNITED STATES

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

| READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM | |
|---|--------------------------------------|
| Part I Initial Listing Rep | ort |
| Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges) | |
| 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company | |
| Class of New Derivative Securities Product: Exchange Traded Fund | 名 思 图 |
| 4. Name of Underlying Instrument: Reality Shares DIVCON Dividend Defender Index | |
| If Underlying Instrument is an Index, State Whether it is Broad-Based or I Broad-based | Narrow-Based: |
| Ticker Symbol(s) of New Derivative Securities Product: DFND | # * |
| 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE | |
| Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) | |
| Position Limits of New Derivative Securities Product (if applicable): N/A | 16000224 |
| Part II Execution | 10000224 |
| The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. | |
| Name of Official Responsible for Form: Colleen Steele | |
| Title: Assistant Corporate Secretary | |
| Telephone Number: 301-978-8736 | |
| Manual Signature of Official Responsible for form: Securida Entirence Act of 1934 | |
| Date: January 20, 2016 | Section 190-4 |
| SEC 2449 (6-01) | Public Availability: FEB 0 4 2016 |